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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | ATTORNEY'S DOCKET NUMBER<br><b>04558/094001</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP2004/000463</b>  | INTERNATIONAL FILING DATE<br><b>21 January 2004</b> | PRIORITY DATE CLAIMED<br><b>22 January 2003</b> |
| TITLE OF INVENTION <b>NEGATIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY, METHOD FOR MANUFACTURING THE SAME, AND LITHIUM SECONDARY BATTERY USING THE</b>   |   |   |
| APPLICANT(S) FOR DO/EO/US <b>Haruo Sakagoshi et al.</b>  |   |   |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A preliminary amendment.</li> <li><input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li><input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard; International Search Report; Form PCT/IB/304; Form PCT/IB/308; Int'l. Preliminary Examination Report; Article 34 Amendments</li> </ol> |   |   |

22511

PATENT TRADEMARK OFFICE

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| U.S. APPLICATION NO. <small>(See 37 CFR 1.15)</small>  | INTERNATIONAL APPLICATION NO. | ATTORNEY'S DOCKET NUMBER   |
| <b>10/539719</b>   | PCT/JP2004/000463             | 04558/094001   |
| The following fees have been submitted   |                               | CALCULATIONS PTO USE ONLY  |
| 21. <input checked="" type="checkbox"/> Basic national fee ..... \$300   |                               | \$ 300.00  |
| 22. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100<br>All other situations ..... \$200  |                               |  |
| 23. <input checked="" type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100<br>International Search Report prepared and provided to the Office ..... \$400<br>All other situations ..... \$500   |                               | \$ 200.00  |
| <b>TOTAL OF 21, 22 and 23 =</b>  |                               | \$ 900.00  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |                               |  |
| Total Sheets   | Extra sheets                  | Number of each additional 50 or fraction thereof (round up to a whole number) RATE |
| 30 - 100 =   | /50 =                         | x \$250.00   |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |                               | \$   |
| CLAIMS NUMBER FILED NUMBER EXTRA RATE  |                               |  |
| Total claims   | 18 - 20 =                     | x \$ 0.00  |
| Independent claims   | 3 - 3 =                       | x \$ 0.00  |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)  |                               | +  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |                               | \$ 900.00  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.   |                               | \$   |
| <b>SUBTOTAL =</b>  |                               | \$ 900.00  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |                               | +  |
| <b>TOTAL NATIONAL FEE =</b>  |                               | \$ 900.00  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |                               | +  |
| <b>TOTAL FEES ENCLOSED =</b>   |                               | \$ 940.00  |
|  |                               | Amount to be refunded: \$  |
|  |                               | Amount to be charged: \$   |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0591. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> |                               |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.  |                               |  |
| SEND ALL CORRESPONDENCE TO:<br><br><br>SIGNATURE: Thomas K. Scherer<br>NAME: _____   |                               |  |
| CUSTOMER NUMBER: 22511 REGISTRATION NUMBER: 45,079   |                               |  |

10/539719

Application No. (if known):

Attorney Docket No.: 04558/094001

JC17 Rec'd PCT/PTO 20 JUN 2005

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV703273285US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on June 20, 2005  
Date



Signature

Toni A. Hill

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages)  
English language translation of the International application  
Specification/Claims/Abstract (26 pages); Formal Drawings (4 pages)  
Oath or declaration of the inventor(s)  
Application Data Sheet  
Article 34 Amendments to the claims of the Int'l application and English translation  
Recordation Form Cover Sheet  
Assignment (3 pages)  
Information Disclosure Statement (2 pages)  
IDS (Citation) by Applicant (24 References)  
Second copy of published International Application  
Return Receipt Postcard; International Search Report; Form PCT/IB/304;  
Form PCT/IB/308; Int'l. Preliminary Examination Report  
Payment by credit card. Form PTO-2038 is attached  
Charge \$940.00 to credit card